



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/060 294 04/15/98 ROLAND

0262/0507

NOT ASSIGNED

JACOBSON PRICE  
HOLMAN AND STERN  
THE JENIFER BUILDING  
100 SEVENTH STREET NW  
WASHINGTON DC 20004

DATE MAILED:

05/07/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

07/14/1998 DFLD

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

01 FC:105

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Martin R. JENSEN et al

Serial No.: 09/060,294

Filed: April 15, 1998

For: MODIFIED TNF $\alpha$  MOLECULES, DNA ENCODING SUCH AND  
VACCINES COMPRISING SUCH MODIFIED TNF $\alpha$  AND DNA



RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
APPLICATION UNDER 37 C.F.R. §1.53

Box Missing Parts  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Attention: APPLICATION BRANCH  
MISSING PARTS OF APPLICATION

Sir:

With respect to the above-identified patent application, the following are filed herewith in response to the Notice To File Missing Parts of Application under 37 C.F.R. §1.53, mailed May 7, 1998, copy attached.

- ☒ Declaration in compliance with 37 C.F.R. §1.63.
- ☐ Declaration in compliance with 37 C.F.R. §1.63, attached to copy of application as originally filed.
- ☐ Small Entity Declaration under 37 C.F.R. §§1.9 and 1.27.
- ☐ Certified copy of \*\* Application No. \*\*, filed \*\*, the priority of which is claimed under 35 U.S.C. §119.
- ☒ Assignment Document and Cover Sheet, with \$40.00 fee for recordation of Assignment.
- ☒ If a Petition for Extension of time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge and fees necessary under 37 CFR 1.17 (a) - (d) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358.



Filing Fee, calculated as follows at Large Entity Rates:

Already paid to the USPTO by Deposit Acct. No. 061358 on April 30, 1998:

Basic Filing Fee for Large Entity	\$790.00	790.00
Total Claims 39 - 20 = 19	(x \$22) =	418.00
Indep. Claims 05 - 3 = 2	(x \$82) =	164.00

Submitted herewith:

X Surcharge for Late Filing of Declaration 130.00

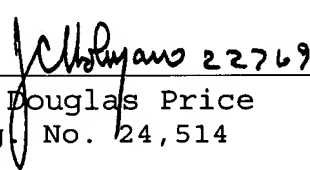
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**TOTAL FILING FEES \$130.00**

Check No. 38377, in the amount of \$ 170.00, is enclosed to cover the late filing surcharge and fee for recordation of Assignment document. The Commissioner is hereby authorized to debit or credit any fees set forth in §1.16 or §1.17 to Deposit Account No. 06-1358 as needed in order to effect proper filing of the application. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By

  
D. Douglas Price  
Reg. No. 24,514

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Atty. Docket No.: P60953US1

Date: July 7, 1998

DDP:jrc